

PRIVATE BANKING

# Personal Account Application Form

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JOINT APPLICANTS

SEPT 2023

JORDAN INTERNATIONAL BANK PLC IS AUTHORISED BY THE PRUDENTIAL REGULATION AUTHORITY AND REGULATED BY THE FINANCIAL CONDUCT AUTHORITY AND THE PRUDENTIAL REGULATION AUTHORITY (FRN: 183722).

REGISTERED IN ENGLAND AND WALES (COMPANY NUMBER: 1814093). REGISTERED OFFICE: ALMACK HOUSE, 26-28 KING STREET, LONDON SW1Y 6QW

**SECTION 1:**  
**CUSTOMER DUE DILIGENCE REQUIREMENTS**

**SECTION 2:**  
**APPLICANT'S PERSONAL DETAILS**

Please answer all of the questions for each applicant, as it will ensure a faster account opening process.

**SECTION 3:**  
**EMPLOYMENT DETAILS**

**SECTION 4:**  
**ACCOUNTS REQUIRED**

**SECTION 5:**  
**SERVICES REQUIRED**

**SECTION 6:**  
**MONTHLY MINIMUM BALANCE REQUIREMENT**

**SECTION 7:**  
**SECURITY QUESTIONS**

**SECTION 8:**  
**INDEMNITY TO OPERATE YOUR ACCOUNT BY FAX / TELEPHONE AND/OR EMAIL**

**SECTION 9:**  
**DECLARATION OF WEALTH**

**SECTION 10:**  
**KYC (KNOW YOUR CUSTOMER) INFORMATION**  
You must provide us with sufficient information for the bank to understand the nature of your main activities. This includes your source of wealth, source of funds and source of income details. This is not an exhaustive list and we may be obliged to request you to submit further information before we can consider processing your account opening application further.

**SECTION 11:**  
**POLITICALLY EXPOSED PERSON (PEP) DECLARATION**

**SECTION 12:**  
**FATCA DECLARATION**

**SECTION 13:**  
**DECLARATION AND APPOINTMENT OF BANKERS**  
Applicants must read the Terms & Conditions supplied with this application form before signing this document.

**SECTION 14:**  
**GDPR CONSENT**

Important notes regarding the completion of this account opening application form:

1. Please complete this form in block capitals and return it to us at the following address;

Private Banking Department

Jordan International Bank Plc

Almack House

26-28 King Street

London SW1Y 6QW

Please, do not send any original documents to us by post. Jordan International Bank Plc will not be liable for the loss or damage to any original documents in the post.

2. Complete all relevant sections fully.  
Many of the questions on the application form relate to mandatory regulatory requirements. Failure to complete all the applicable sections fully may delay or prevent the opening of the account for you.
3. When submitting documents, ensure that you have included all additional documents required, as detailed in Section 1.
4. We encourage you to read and understand the Terms and Conditions (including the obligation to send updated documents and information to us) and Schedule of Charges.
5. Terms & Conditions and Schedule of Charges are supplied separately.
6. It is important to note that Jordan International Bank Plc does not provide any tax advice and or for the completion of any of our application forms.

## SECTION 1: CUSTOMER DUE DILIGENCE REQUIREMENTS

In line with the current Anti-Money Laundering regulations, the Bank has a legal obligation to verify the identity and permanent address of all new customers.

In order to fulfil that obligation, all applicants must submit the following documents specified below.

You are required to submit two different documents, which must be either originals or appropriately certified copies (see below).

One document from List 1 & One document from List 2;

### LIST 1: PROOF OF NAME AND DATE OF BIRTH

Please provide one of the following documents to prove your identity.

The name on the documents must match the name on the application form.

- Certified copy of unexpired passport clearly showing its number and country of issue, your photograph, name, date of birth and signature (which must agree with the details on the application) and its expiry date.
- Certified copy of unexpired National Identity Card or Driver's Licence clearly showing its number and country of issue, your photograph, name, date of birth and signature (which must agree with the details on the application) and its expiry date. Where the ID card does not bear a signature, the copy of the ID card must be signed by the Applicant in the presence of the certifier.

### LIST 2: PROOF OF RESIDENTIAL ADDRESS

Please provide one of the following documents to prove your principal residential address.

The name and address on the documents must match the name and address on the application form.

- An original or certified copy bank statement. This must not be older than three months from date of receipt by the Bank and should show at least one month's current account activity. The statement should not be a savings account.
- An original or certified copy of a credit card statement from a reputable lender. This must not be older than three months from date of receipt by the Bank and should show at least one month's account activity.
- An original or certified copy of a utility bill, such as gas, water, council tax, electricity, telephone (mobile phone bills are not acceptable). This must not be older than three months from date of receipt by the Bank.

### PO BOX ADDRESSES

Documents confirming an address that list a PO Box should show a supply address for service which is the residential address provided or certified evidence linking the PO Box to that. Alternatively, you may provide us with an original or certified copy of a letter from a director or officer of a well-known overseas employer that confirms the principal private residence at the stated address (or provides detailed directions to locate the stated address); or an original or certified copy of a letter of introduction from a regulated financial services business confirming the stated address as the principal private residence.

Please note: where the documents supplied are not written in English, Jordan International Bank will be required to have these documents translated. A charge for this translation will be passed on to the client.

### FURTHER NOTES: PLEASE READ

1. We reserve the right to seek additional proof of identity, if we consider it necessary.  
In accordance with our obligations under the Money Laundering Regulations 2017, we may in fact be obliged to do this in specific cases, and will discuss this with Applicants individually where this appears to us to be necessary.
2. Please take care to ensure that reproductions of photographs are clear and legible.
3. Please speak with us if your circumstances prevent you from providing any of the forms of photo-I/D in List 1 above. In exceptional cases, other forms of photo-I/D may be acceptable, for example a very recently expired passport that clearly indicates all of the identification information which we would otherwise expect a current passport to disclose.

## CHECKLIST

Please tick to confirm the following before sending your application:

Have you fully completed all of the questions in each section, including the source of wealth and source of funds?

Have you signed the Declaration?

Have you enclosed documents to prove your identity?

Have you completed the fax / phone / email indemnity?

Proof of name and date of birth

Proof of residential address

## CERTIFICATION OF PERSONAL DOCUMENTS

For certifications to be effective the certifier must be subject to professional rules of conduct. The certifier must be a person/ organisation of reputable standing who is independent of the Applicant and who has no family connection with Applicant wishing to open the Account.

A suitable certifier must clearly certify that:

- they have seen the original documentation which verifies identity and/or residential address;
- the copy of the document (which they certify) is a complete and accurate copy of that original; and
- where the documentation is to be used to verify the identity of an individual and contains a photograph, the photograph contained in the document certified, bears a true likeness to the individual requesting certification, or use wording to the same effect

The certifier must also sign and date the copy document including their printed name, and provide adequate information so that they may be contacted in the event of a query.

Example of certification "I certify that this is a complete and accurate copy of the original passport presented to me by [insert name of individual] whom I have met face to face and that the photograph bears a true likeness to that individual".

Printed name of certifier ..... Signature of certifier .....

Address of certifier .....

Qualification/professional body of certifier: ..... Date .....

The following is a list of examples of suitable certifiers:

- a member of the judiciary, senior civil servant, serving police or customs officer
- an officer of the embassy, consulate or high commission of the country or territory of issue of documentary evidence of identity
- a lawyer in practice or notary public
- an actuary or accountant who is a member of a recognised professional body
- a member of the Institute of Chartered Secretaries and Administrators or equivalent
- a director or officer of a regulated financial services business or a regulated financial services business itself

As noted above, however, the certifier must be subject to professional rules of conduct.

The Bank reserves the right to require alternative or additional evidence of identity.

Please speak to the Bank if for any reason you consider that it is unlikely you will be able to obtain a certification from any of the categories above.



**APPLICANT 1 – FURTHER INFORMATION**

Are you an existing Account holder of Jordan International Bank Plc? Yes No

If yes, please provide the account number(s): \_\_\_\_\_

Please specify any accounts held with any other Financial Institutions Bank Names: \_\_\_\_\_

How did you hear about Jordan International Bank? (please tick the appropriate box)?

Existing Customer: \_\_\_\_\_

Personal recommendation: \_\_\_\_\_

Website: \_\_\_\_\_

Publication: \_\_\_\_\_

Other, please specify: \_\_\_\_\_

**APPLICANT 1 – EMPLOYMENT DETAILS**

Employment Status: \_\_\_\_\_  
(employed, self-employed, retired etc)

Occupation: \_\_\_\_\_

Job Title: \_\_\_\_\_

Employer's Name: \_\_\_\_\_

Employer's Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Post Code/Zip Code: \_\_\_\_\_

Town/City: \_\_\_\_\_

Country: \_\_\_\_\_

Number of Years Employed: \_\_\_\_\_

Salary (Gross): £ \_\_\_\_\_

Bonus/Commission: £ \_\_\_\_\_

Other Income: £ \_\_\_\_\_

How is your salary paid?

Direct to Bank Cheque Cash

Frequency:

Weekly Monthly

**SELF EMPLOYED: (IF APPLICABLE)**

Business Name: \_\_\_\_\_

Business Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Post Code/Zip Code: \_\_\_\_\_

Town/City: \_\_\_\_\_

Country: \_\_\_\_\_

Number of Years in Business: \_\_\_\_\_

Net Profit (last 3 completed years):

Year 1: \_\_\_\_\_ £ \_\_\_\_\_

Year 2: \_\_\_\_\_ £ \_\_\_\_\_

Year 3: \_\_\_\_\_ £ \_\_\_\_\_

**NAME & ADDRESS OF ACCOUNTANT:**

Business Name: \_\_\_\_\_

Business Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Post Code/Zip Code: \_\_\_\_\_

Town/City: \_\_\_\_\_

Country: \_\_\_\_\_

## SECTION 2: APPLICANT 2 – PERSONAL DETAILS

Title: \_\_\_\_\_

Surname: \_\_\_\_\_

First Name: \_\_\_\_\_

Middle Name(s): \_\_\_\_\_

Date of Birth (dd/mm/yyyy):     /     /

Town of Birth: \_\_\_\_\_

Country of Birth: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Post Code/Zip Code: \_\_\_\_\_

Town/City: \_\_\_\_\_

Country: \_\_\_\_\_

Period of stay at address: Years:                      Months:

If you have spent less than 3 years at the above address,  
please state previous address:

Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Post Code/Zip Code: \_\_\_\_\_

Town/City: \_\_\_\_\_

Country: \_\_\_\_\_

Period of stay at address: Years:                      Months:

### CORRESPONDENCE ADDRESS: (IF APPLICABLE)

Please state your preferred correspondence address,  
if it differs from your residential address.

Address: \_\_\_\_\_

\_\_\_\_\_

Post Code/Zip Code: \_\_\_\_\_

Town/City: \_\_\_\_\_

Country: \_\_\_\_\_

### REASON FOR CORRESPONDENCE ADDRESS:

\_\_\_\_\_

### CONNECTION TO APPLICANT 1:

\_\_\_\_\_

### CONTACT DETAILS:

e-mail address: \_\_\_\_\_

Home Phone: \_\_\_\_\_  
(country code/area code/number)

Mobile Phone: \_\_\_\_\_  
(country code/area code/number)

Work Phone: \_\_\_\_\_  
(country code/area code/number)

### BEST METHOD OF CONTACT:

Telephone                      Email

### IDENTIFICATION DETAILS:

ID/Passport No: \_\_\_\_\_

Date of Issue:     /     /

Date of Expiry:     /     /

ID/Passport: \_\_\_\_\_

Country: \_\_\_\_\_

### GENDER:

Male                      Female

### MARITAL STATUS:

Married/Civil Partner                      Single

Other: \_\_\_\_\_

### RESIDENCE:

UK-Resident                      Non-UK Resident

### RESIDENTIAL STATUS:

Owned – Outright

Owned – Mortgage

Rented

Living with Parents

Shared/Part Owned

Other: \_\_\_\_\_

**APPLICANT 2 – FURTHER INFORMATION**

Are you an existing Account holder of Jordan International Bank Plc? Yes No

If yes, please provide the account number(s): \_\_\_\_\_

Please specify any accounts held with any other Financial Institutions Bank Names: \_\_\_\_\_

How did you hear about Jordan International Bank? (please tick the appropriate box)?

Existing Customer: \_\_\_\_\_

Personal recommendation: \_\_\_\_\_

Website: \_\_\_\_\_

Publication: \_\_\_\_\_

Other, please specify: \_\_\_\_\_

**APPLICANT 2 – EMPLOYMENT DETAILS**

Employment Status: \_\_\_\_\_  
(employed, self-employed, retired etc)

Occupation: \_\_\_\_\_

Job Title: \_\_\_\_\_

Employer's Name: \_\_\_\_\_

Employer's Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Post Code/Zip Code: \_\_\_\_\_

Town/City: \_\_\_\_\_

Country: \_\_\_\_\_

Number of Years Employed: \_\_\_\_\_

Salary (Gross): £ \_\_\_\_\_

Bonus/Commission: £ \_\_\_\_\_

Other Income: £ \_\_\_\_\_

How is your salary paid?

Direct to Bank Cheque Cash

Frequency:

Weekly Monthly

**SELF EMPLOYED: (IF APPLICABLE)**

Business Name: \_\_\_\_\_

Business Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Post Code/Zip Code: \_\_\_\_\_

Town/City: \_\_\_\_\_

Country: \_\_\_\_\_

Number of Years in Business: \_\_\_\_\_

Net Profit (last 3 completed years):

Year 1: \_\_\_\_\_ £ \_\_\_\_\_

Year 2: \_\_\_\_\_ £ \_\_\_\_\_

Year 3: \_\_\_\_\_ £ \_\_\_\_\_

**NAME & ADDRESS OF ACCOUNTANT:**

Business Name: \_\_\_\_\_

Business Address: \_\_\_\_\_  
\_\_\_\_\_

Post Code/Zip Code: \_\_\_\_\_

Town/City: \_\_\_\_\_

Country: \_\_\_\_\_



## SECTION 4: ACCOUNTS REQUIRED

Please select which accounts you would like to apply for:

Current Account:            Yes            No  
(GBP only)

Cheque Book:                Yes            No

Paying In Book:            Yes            No

Statement Frequency:

Monthly

Quarterly

Semi-Annual

Annual

GBP Fixed Term Deposit Account:

Term of Deposit:

1 Year:                        Yes

2 Years:                      Yes

3 Years:                      Yes

Notice Account:

31 Days' Notice:            GBP            USD            EURO

96 Days' Notice:            GBP            USD            EURO

## SECTION 5: SERVICES REQUIRED

Please select which services you would like to apply for:

**DEBIT CARD: (ONLY AVAILABLE WITH A GBP CURRENT ACCOUNT)**

Debit Card:                 Yes            No

If Yes, please select method you wish to receive your Debit Card:

At your Correspondence Address

Collect from our Offices

We urge you to read our Debit Card Terms & Conditions

**Please Note:** Joint account holders who do not have an 'either to sign' mandate over their account(s) cannot have a debit card on a joint account

**INTERNET BANKING:**

Internet banking Access:            Yes            No

**Please Note:** Joint account holders who do not have an 'either to sign' mandate over their account(s) will have an Internet Banking "display" access only

If Yes, please select method you wish to receive your username:

At your Correspondence Address

Collect from our Offices

We urge you to read our Internet Banking Terms & Conditions

## SECTION 6: MONTHLY MINIMUM BALANCE REQUIREMENT

As a “personal” customer, you must maintain with us a monthly average aggregate minimum balance threshold of:

GBP50,000 (or currency equivalent in USD or EURO)

This means that, during a calendar month, you will need to hold an average aggregate minimum balance within this threshold across your Current, Savings or Fixed Deposit accounts with us.

At the end of each month, should your average aggregate minimum balance for that month fall below this threshold, you will be advised by e-mail/letter.

In such instances, you may be charged a fee at the end of each month until you once again meet the monthly average aggregate minimum customer balance requirement. Please refer to our current Schedule of Charges leaflet.

## SECTION 7: SECURITY QUESTIONS

As part of our data protection requirements, we must identify you before we communicate any information to you.

To enable us to do this, please provide us with the following information that is unique to you:

### APPLICANT 1:

Your Mother’s Maiden Name: \_\_\_\_\_

Memorable Word/Phrase: \_\_\_\_\_

Memorable Date: \_\_\_\_\_

Memorable Place: \_\_\_\_\_

Your Childhood Hero: \_\_\_\_\_

Your Childhood Friend: \_\_\_\_\_

Your First School: \_\_\_\_\_

### APPLICANT 2:

Your Mother’s Maiden Name: \_\_\_\_\_

Memorable Word/Phrase: \_\_\_\_\_

Memorable Date: \_\_\_\_\_

Memorable Place: \_\_\_\_\_

Your Childhood Hero: \_\_\_\_\_

Your Childhood Friend: \_\_\_\_\_

Your First School: \_\_\_\_\_

## SECTION 8: INDEMNITY TO OPERATE YOUR ACCOUNT BY FAX / PHONE AND/OR EMAIL

The operation of accounts by instructions communicated via fax/phone/email, offers you, the account holder, the practical option of providing instructions to the Bank swiftly.

These means of communication carry greater risks than the provision of instructions by post or in person.

The Bank is prepared to accept your instructions by fax, telephone or email, and to implement these instructions to our order. However, to protect the Bank from exposure to the greater risk of transaction failure or other loss to your account, the Bank requires you to provide it with this indemnity.

**You do not have to do so; however, if you are not prepared to do so, then the Bank will not be able to accept any instructions from you other than face to face or in normal postal correspondence.**

In consideration of the Bank accepting or acting upon telephone, and/or any letter received by facsimile transmission (fax) or email or as an attachment to an e-mail from me, (collectively called instructions) addressed to or otherwise communicated to any of the Bank's employees, I/We hereby confirm to you that:

1. I /We hereby indemnify fully and effectually and hold harmless the Bank, its Directors, Officers and Employees in respect of any claims, costs, expenses, demands or suits made against, or incurred by the Bank by reason of the Bank having accepted and acted upon the instructions.
2. You have made clear to me and I/We are fully aware of the risks of omissions, errors, miss- statements, fraud and/or authorised interventions by third parties which are inherent in the above procedure.
3. I /We have no objection to your recording such instructions and agree that the same may be used in evidence where relevant.
4. Further, I /We hereby agree with you as follows:
  - I. I /We agree that the Bank may seek confirmation from me for any such instructions, prior to acting upon them. Such confirmation may be obtained by telephoning me on any of my numbers currently held by the bank.
  - II. If the Bank has tried but has failed to make contact with me for any reason or has not been supplied with the confirmation of my identity; it may in its absolute discretion refuse to act upon the instructions concerned.
  - III. I /We shall comply with any proper requests for information made by the Bank for the authentication of the instructions in question.
  - IV. I /We shall make prudent use of the above arrangements for me to issue such instructions and I shall inform you as soon as practicable upon me becoming aware of any circumstances or event likely to render the continued use of the said arrangements unsafe.
5. I /We fully accept that you may refuse to act upon any particular instruction and indeed that you may terminate the above arrangements whenever you deem fit without prior notice to me.
6. I /We confirm that I /We shall not hold you liable in any way whatsoever for any loss or damage, direct or indirect, howsoever arising from your refusal to act upon any particular instructions.
7. I /We confirm that I /We understand the legal implications of this Indemnity and I /We confirm that I /We have either taken independent legal advice or have been offered the opportunity to do so and have declined to do so out of my own free will.
8. This agreement shall be governed by English law and shall be additional to any other indemnity which you now or hereafter may hold.

Designated email address that Jordan International Bank Plc will receive instructions from you;

APPLICANT 1: \_\_\_\_\_

APPLICANT 2: \_\_\_\_\_

Do you wish to invoke the above indemnity?	Yes	No	Do you wish to invoke the above indemnity?	Yes	No
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## SECTION 9: APPLICANT 1 – DECLARATION OF WEALTH

Please provide as much relevant information required to substantiate the source of your wealth.

Failure to answer this section fully may result in a delay or prevent the opening of your account(s).

### ASSETS:

· Approximate net worth (excluding principal residence): £ \_\_\_\_\_

· How was your wealth generated? i.e. what economic activity generated your net worth?

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· Investments (capital gains)

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· Sale of property/business (when, name of business, value, address)

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· Inheritance/gift (from whom, year received, approximate value in Sterling, how did the donor create their wealth?)

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· Other(please specify)

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### LIABILITIES:

· Mortgages (£)

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· Personal Loans (£)

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· Unsecured Loans (£)

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· Others (£)

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## SECTION 9: APPLICANT 2 – DECLARATION OF WEALTH

Please provide as much relevant information required to substantiate the source of your wealth.

Failure to answer this section fully may result in a delay or prevent the opening of your account(s).

### ASSETS:

· Approximate net worth (excluding principal residence): £ \_\_\_\_\_

· How was your wealth generated? i.e. what economic activity generated your net worth?

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· Investments (capital gains)

---

· Sale of property/business (when, name of business, value, address)

---

· Inheritance/gift (from whom, year received, approximate value in Sterling, how did the donor create their wealth?)

---

· Other(please specify)

---

### LIABILITIES:

· Mortgages (£)

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· Personal Loans (£)

---

· Unsecured Loans (£)

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· Others (£)

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## SECTION 10: KYC (KNOW YOUR CUSTOMER) INFORMATION

Please help us to understand how your account(s) will be operated with Jordan International Bank Plc:

### Profession/ Main Activities/ Source of Wealth, Income, Funds: (please state full details):

APPLICANT 1:

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APPLICANT 2:

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### Purpose of Opening Account(s):

Account 1:

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Account 2:

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Account 3:

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Expected Annual Turnover	Account 1	Account 2	Account 3
_____	£ _____	£ _____	£ _____
Expected Values of Credit (per month)	Account 1	Account 2	Account 3
Cheque Deposits (issued on UK banks):	£ _____	£ _____	£ _____
Cash:	£ _____	£ _____	£ _____
Bank to Bank Transfers:	£ _____	£ _____	£ _____
Highest Value of Any One Credit:	£ _____	£ _____	£ _____
Number of Incoming Transactions:	_____	_____	_____

### For office Use Only:

Interviewer/Officer

Name:

Position:

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Signature:

Date:

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## SECTION 11: APPLICANT 1 – POLITICALLY EXPOSED PERSON (PEP) DECLARATION

Please confirm whether you are a PEP in your own right or whether you are an immediate family member or close business associate of a PEP.

A PEP is defined under the UK anti-money laundering legislation as:

**A person who holds or has held a high political profile or public office within the last 12 months.**

Individuals entrusted with such prominent public functions include:

- Heads of state, heads of government, ministers and deputy or assistant ministers;
- Members of parliaments or of similar legislative bodies;
- Members of supreme courts, of constitutional courts or of other high-level judicial bodies the decisions of which are not subject to further appeal, except in exceptional circumstances;
- Members of courts of auditors or of the boards of central banks;
- Ambassadors, charges d'affaires and high-ranking officers in the armed forces (other than in respect of relevant positions at Community and international level);
- Members of the administrative, management or supervisory boards of State-owned enterprises; and Directors, deputy directors and members of the board or equivalent function of an international organisation.

These categories do not include middle-ranking or more junior officials.

**An immediate family member of a PEP.** Such family members include:

- A spouse or partner of that person;
- Children of that person and their spouses or partners; and
- Parents of that person
- Brothers, Sisters, Uncles and Aunties

**A known close business associate of a PEP.** Such associates include the following:

- An individual who is known to have joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations, with a PEP; and
- An individual who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the benefit of a PEP.

I hereby declare that:

I do not consider myself to be a PEP

I do consider myself to be a PEP

If you do consider yourself to be a PEP, please specify the position you hold or have held in the last 12 months:

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I hereby declare that:

I am not an immediate family member or close business associate of a PEP

I am an immediate family member or close business associate of a PEP

If you are an immediate family member or a close business associate of a PEP, please provide details of immediate family member or close business associate who is a PEP:

Full Name: \_\_\_\_\_

Position: \_\_\_\_\_

Relationship to you: \_\_\_\_\_

I hereby further declare that that the information in this section is accurate and I will amend this declaration in case of any changes to circumstances of my PEP status without any undue delay

## SECTION 11: APPLICANT 2 – POLITICALLY EXPOSED PERSON (PEP) DECLARATION

Please confirm whether you are a PEP in your own right or whether you are an immediate family member or close business associate of a PEP.

A PEP is defined under the UK anti-money laundering legislation as:

**A person who holds or has held a high political profile or public office within the last 12 months.**

Individuals entrusted with such prominent public functions include:

- Heads of state, heads of government, ministers and deputy or assistant ministers;
- Members of parliaments or of similar legislative bodies;
- Members of supreme courts, of constitutional courts or of other high-level judicial bodies the decisions of which are not subject to further appeal, except in exceptional circumstances;
- Members of courts of auditors or of the boards of central banks;
- Ambassadors, charges d'affaires and high-ranking officers in the armed forces (other than in respect of relevant positions at Community and international level);
- Members of the administrative, management or supervisory boards of State-owned enterprises; and Directors, deputy directors and members of the board or equivalent function of an international organisation.

These categories do not include middle-ranking or more junior officials.

**An immediate family member of a PEP.** Such family members include:

- A spouse or partner of that person;
- Children of that person and their spouses or partners; and
- Parents of that person
- Brothers, Sisters, Uncles and Aunties

**A known close business associate of a PEP.** Such associates include the following:

- An individual who is known to have joint beneficial ownership of a legal entity or legal arrangement, or any other close business relations, with a PEP; and
- An individual who has sole beneficial ownership of a legal entity or legal arrangement which is known to have been set up for the benefit of a PEP.

I hereby declare that:

I do not consider myself to be a PEP

I do consider myself to be a PEP

If you do consider yourself to be a PEP, please specify the position you hold or have held in the last 12 months:

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I hereby declare that:

I am not an immediate family member or close business associate of a PEP

I am an immediate family member or close business associate of a PEP

If you are an immediate family member or a close business associate of a PEP, please provide details of immediate family member or close business associate who is a PEP:

Full Name: \_\_\_\_\_

Position: \_\_\_\_\_

Relationship to you: \_\_\_\_\_

I hereby further declare that that the information in this section is accurate and I will amend this declaration in case of any changes to circumstances of my PEP status without any undue delay



## SECTION 12: FATCA DECLARATION

The Foreign Account Tax Compliance Act (FATCA) is a 2010 US federal law, designed to prevent tax evasion by US citizens using offshore banking facilities.

It requires Financial Institutions outside the US to provide information to the US tax authorities regarding financial accounts held by "US Persons".

When applying for one of our products, we require our application forms to be fully completed.

It is important to note that Jordan International Bank Plc does not provide any tax advice.

Please read the details below in order to determine if you are a "US Person" and consequently, a US taxpayer for the purpose of FATCA, IF YOU:

1. Are a citizen of the United States
2. Are a "Green Card" holder
3. Were born in the United States
4. Have a permanent address or other residence in the United States
5. Have a mailing address or P.O. Box in the United States
6. Have a cellular or landline subscription with any U.S. service provider
7. Have a standing order in place for accounts maintained in the United States
8. Authorised a U.S. resident to act or sign on your behalf regarding your account
9. Share a joint account with a U.S. person
10. Have a U.S. tax identification number
11. Are acting as an intermediary – any person that acts as a custodian, broker, nominee, or otherwise as an agent for another person, regardless of whether that other person is the beneficial owner of the amount paid, a flow-through entity, or another intermediary
12. Are receiving compensation for personal services rendered in the United States – compensation is received from a US person (e.g. scientific, sports, educational activities)
13. Have income that is effectively connected with the conduct of a trade or business in the United States

If you have answered "YES" to any of the above questions, you should declare yourself to be a "US person" and consequently, a US taxpayer for the purposes of FATCA.

You will therefore need to complete a FATCA Declaration Form.

(The relevant form will be forwarded to you in accordance with your tax status)

If you are in any doubt of the above and how FATCA may apply to you, you should seek independent professional advice.

### APPLICANT 1:

Do you consider yourself to be FATCA reportable?      Yes      No

### APPLICANT 2:

Do you consider yourself to be FATCA reportable?      Yes      No

## SECTION 13: APPOINTMENT OF BANKERS AND MANDATE

### 13.1 TERMS AND CONDITIONS:

- We hereby acknowledge receipt of and confirm that we have read, understood and agree to be bound by the Bank's Terms and Conditions (governing the operation of the account(s) and any other services/products) and Schedule of Charges, as amended from time to time.
- We understand that these materials, together with this Application Form, constitute the Bank's Agreement with us to provide its services.
- We authorise you to make credit reference checks, identity checks, sanction list checks and other enquiries in accordance with your normal procedures.

### 13.2 COMPLETION OF THIS APPLICATION FORM:

- We declare that the information provided in this Application Form and supporting documents is true, complete and up to date
- We confirm our understanding that the Bank in making its decision to open any account or provide any other related services will be relying on such information.
- We agree to notify the Bank immediately if we become aware of any changes to the information provided in this Application Form.

We have received and confirm that we have read the Financial Services Compensation Scheme Information Sheet and Exclusions List, please tick here:

### 13.3 BINDING AGREEMENT:

We acknowledge our obligation to provide various documents, as requested by the Bank, in accordance with its current processes in order to be able to consider our application and that the Bank's obligation to provide services under this Agreement will not commence until the Bank is fully satisfied and our application is approved.

### 13.4 SECURITY AND PROVISION OF INFORMATION:

We acknowledge our obligation to complete this Application Form accurately to enable the Bank to verify my identity.

On the opening of an account with the Bank, we agree:

- that the Bank will not be responsible for providing services to any person other than the account holder using the account
- to keep secure & confidential each and every password we designate for use in relation to the account
- if issued with a cheque book for the account, to keep it in a safe place known only to me and to immediately report to the Bank its having been lost, stolen or accidentally destroyed
- to take practical steps to ensure that relevant confidential details concerning the account are shared with as few persons as possible and are not disclosed to persons with no basis for knowing them
- to tell the Bank immediately if we think another person has gained access (whether or not authorised) to my password or any other security details
- that the Bank can refuse to act solely on oral or emailed instructions and has the right to reverse transactions already undertaken on solely my instructions communicated orally or via email that have not been confirmed by other means
- to provide the Bank with any additional information or documentation that it may reasonably require from time to time
- to immediately inform the Bank in writing of any changes to our personal details

**13.5 AUTHORISED SIGNATURES:**

We hereby authorise the Bank to act on any instructions (such as cheques and any other payment orders) to any of our joint accounts which it reasonably believes have been issued by us, using the signatures given below, which will be valid for all transactions between us and the Bank in relation to any of our joint accounts, provided such items are signed by:

Either of us                      Both of us                      Other \_\_\_\_\_ (please specify)

Our signatures will remain valid until revoked by our written notice to the Bank.

To accept our individual and joint liability of the whole amount due if any of our joint current accounts become overdrawn. In the absence of any contrary written instructions signed by us, as above, to apply this mandate to each and every account opened by you now and hereafter in our joint names.

To send statements of our account(s) to our joint names. We will notify you in writing if we wish for you to provide us with separate periodic statements at different addresses.

**APPLICANT 1:**

Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**APPLICANT 2:**

Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

### 13.6 DATA PROTECTION: APPLICANT 1

For the purposes of EU (GDPR) and ultimately, UK (Data Protection Act 2018) data protection legislation, Jordan International Bank Plc (“JIB”, “we” or “us”) of Almack House, 26–28 King Street, London SW1Y 6QW is the data controller responsible for the collection and use of your personal information as set out in our Privacy Policy. Please see link below:

<https://www.jordanbank.co.uk/media/1128/privacy-policy.pdf>

This Privacy Policy explains why and how we process and protect the personal information that you provide to us. It also tells you about your privacy rights and how the law protects you.

When you apply or enquire about a product or service and throughout our relationship with you, you will provide various categories of personal information to us. We collect this personal information to ensure we can efficiently and lawfully provide the relevant product or service to you.

We are committed to providing banking services and want you to have trust and confidence in the way we use your personal information. In order to comply with data protection law, we must manage your personal information fairly, lawfully and transparently. This will ensure that you are informed about our uses of your personal information and your rights in respect of the personal information you provide.

If you are happy to receive marketing communications from Jordan International Bank Plc relating to our products and services which may interest you,

please tick here:

You do not need to consent to this option in order to open your account(s) with us.

If you have any questions, or want more details about how we use your personal information, or you wish to

withdraw your consent at any time, free of charge, where there is no good reason for us continuing to process it (this includes cases where you wish to opt out from marketing communications that you receive from us) please contact us: -

- By email at: [compliance@jordanbank.co.uk](mailto:compliance@jordanbank.co.uk)
- By writing to us, for the attention of our Senior Compliance Officer  
Jordan International Bank Plc, Almack House, 26–28 King Street, London SW1Y 6QW

We reserve the right to change our Privacy Notice from time to time. If we decide to change our Privacy Notice we will notify you of these changes in writing and will also post an alert on our website.

Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

### 13.6 DATA PROTECTION: APPLICANT 2

For the purposes of EU (GDPR) and ultimately, UK (Data Protection Act 2018) data protection legislation, Jordan International Bank Plc (“JIB”, “we” or “us”) of Almack House, 26–28 King Street, London SW1Y 6QW is the data controller responsible for the collection and use of your personal information as set out in our Privacy Policy. Please see link below:

<https://www.jordanbank.co.uk/media/1128/privacy-policy.pdf>

This Privacy Policy explains why and how we process and protect the personal information that you provide to us. It also tells you about your privacy rights and how the law protects you.

When you apply or enquire about a product or service and throughout our relationship with you, you will provide various categories of personal information to us. We collect this personal information to ensure we can efficiently and lawfully provide the relevant product or service to you.

We are committed to providing banking services and want you to have trust and confidence in the way we use your personal information. In order to comply with data protection law, we must manage your personal information fairly, lawfully and transparently. This will ensure that you are informed about our uses of your personal information and your rights in respect of the personal information you provide.

If you are happy to receive marketing communications from Jordan International Bank Plc relating to our products and services which may interest you,

please tick here:

You do not need to consent to this option in order to open your account(s) with us.

If you have any questions, or want more details about how we use your personal information, or you wish to

withdraw your consent at any time, free of charge, where there is no good reason for us continuing to process it (this includes cases where you wish to opt out from marketing communications that you receive from us) please contact us: -

- By email at: [compliance@jordanbank.co.uk](mailto:compliance@jordanbank.co.uk)
- By writing to us, for the attention of our Senior Compliance Officer  
Jordan International Bank Plc, Almack House, 26–28 King Street, London SW1Y 6QW

We reserve the right to change our Privacy Notice from time to time. If we decide to change our Privacy Notice we will notify you of these changes in writing and will also post an alert on our website.

Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

## SECTION 14: GDPR CONSENT

### APPLICANT 1:

I hereby grant Jordan International Bank Plc and any of its third party processors authority to process my personal information/data, for the purpose of assessing my application and make decisions as to whether the Bank is able to provide me with the products/services I have requested.

I understand that this is necessary for the Bank to process my personal information/data for the purposes of assessing my application.

I understand that I can find full details of what personal information/data the Bank collects, the purpose for collection and processing and how I can withdraw my consent from the following link on the Bank's website:

<https://www.jordanbank.co.uk/media/1128/privacy-policy.pdf>

Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

### APPLICANT 2:

I hereby grant Jordan International Bank Plc and any of its third party processors authority to process my personal information/data, for the purpose of assessing my application and make decisions as to whether the Bank is able to provide me with the products/services I have requested.

I understand that this is necessary for the Bank to process my personal information/data for the purposes of assessing my application.

I understand that I can find full details of what personal information/data the Bank collects, the purpose for collection and processing and how I can withdraw my consent from the following link on the Bank's website:

<https://www.jordanbank.co.uk/media/1128/privacy-policy.pdf>

Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_